

## PATENT

CASE # C4195(C)  
UNUS #: 02-0112-UNI

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

"Assistant Commissioner for Patents  
Alexandria, VA 22313-1450"

On September 16, 2004

Alan A. Bornstein  
Reg. No. 40,919  
Attorney for Applicant(s)

09/16/04  
Date of Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 000201  
Applicant: Batchelor, et al.  
Serial No.: 10/501,499  
Filed: July 14, 2004  
For: AZETIDINIUM MODIFIED POLYMERS AND FABRIC TREATMENT  
COMPOSITION

Group: Unknown  
Examiner: Unknown  
EDGEWATER, NEW JERSEY 07020  
September 16, 2004

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Documents relating to the above-identified application are submitted herewith. These documents are intended for the Examiner's information and for citation with the instant case. This submission does not constitute either a representation that a thorough search has been made or an admission that the documents cited herein are properly citable against the above-captioned patent application. Any comments accompanying the document are not intended to take the place of the Examiner's independent consideration of each document. An attached PTO Form 1449 lists all the documents.

References:

PCT International Search Report, PCT/EP02/13959, mailed on July 3, 2003 – 5 pp.

GB Search Report, GB 0201165.8, dated July 31, 2002 – 1 pp.

DE 12 58 604 (Hoechst AG)  
EP 0 016 623 (Monsanto Company)  
EP 0 403 336 (Ceca S.A.)  
US 3 702 799 (S.N. Lewis)  
US 3 705 076 (H.R. Usala)  
US 4 536 552 (Killat, et al.)  
US 6 197 880 (Nigam)  
WO 92/07124 (Courtaulds)  
WO 93/13063 (PPG Industries, Inc.)  
WO 93/13142 (PPG Industries, Inc.)  
WO 98/29530 (Proctor & Gamble)  
WO 99/06469 (Hercules)  
WO 99/54541 (SRI International)

No additional fee is required since this information is filed prior to the first Office Action on the merits. (37 CFR 1.97(b)(3)).

[ ] Charge \$ \_\_\_\_\_ to Deposit Account No. 12-1155. Triplicate copies of this letter are enclosed.

[XX] Please charge any additional fees or credit overpayment to Deposit Account No. 12-1155. Triplicate copies of this letter are enclosed.

Respectfully submitted,



Alan A. Bornstein  
Reg. No. 40,919  
Attorney for Applicant(s)

AAB/ss  
(201) 840-2680

PTO-1449

U.S. Dept. of Commerce - Patent &amp; Trademark Office

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT****LIST OF REFERENCES CITED BY APPLICANT**

Applicant: Batchelor, et al.  
 Serial No.: 10/501,499  
 Filing Date: July 14, 2004

Case No.: C4195(C)  
 UNUS No.: 02-0112-UNI  
 Group: Unknown

**U.S. PATENT DOCUMENTS**

EXAM. INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	3 702 799	14 Nov. 72	S.N. Lewis			
	3-705 076	05 Dec. 72	H.R. Usala			
	4 536 552	20 Aug. 85	Killat, et al.			
	6 197 880	06 Mar. 01	Nigam			

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	12 58 604	11 Jan. 68	DE			
	0 016 623	01 Oct. 80	EP			
	0 403 336	19 Dec. 90	EP			
	92/07124	30 Apr. 92	WO			
	93/13063	08 Jul. 93	WO			
	93/13142	08 Jul. 93	WO			
	98/29530	09 Jul. 98	WO			
	99/06469	11 Feb. 99	WO			
	99/54541	28 Oct. 99	WO			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

International Search Report, PCT/EP 02/13959, dated July 3, 2003 – 5 pp.

GB Search Report, 0201165.8, dated July 31, 2002 – 1 pp.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.